

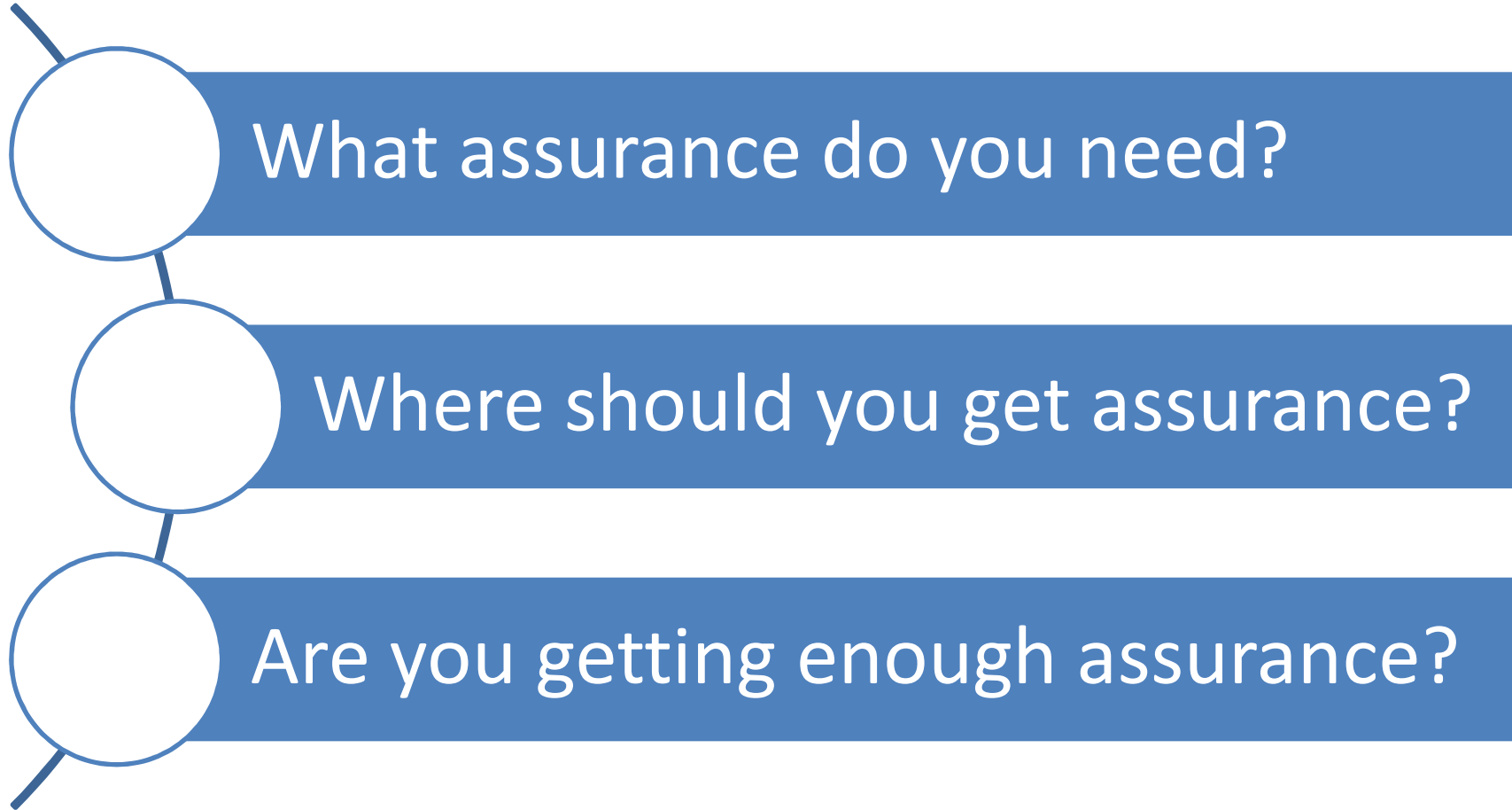


Corporate Governance Committee

Self-assessment

September 2013


Assurance

- 
- What assurance do you need?
 - Where should you get assurance?
 - Are you getting enough assurance?

Governance Framework

- What is the governance framework?
- Are you involved enough in its development?
- Can you confidently challenge the content of the Annual Governance Statement?

Partnerships



How do you know
how well our
partnerships are
governed?

Internal Audit

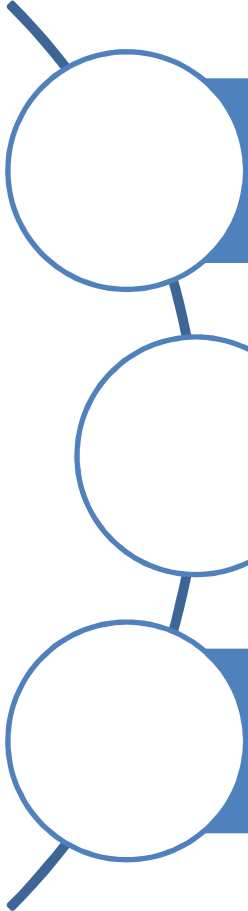


What is IA's role

Does IA provide enough assurance?

How do you know IA is effective?

Internal Audit




Does IA provide you with enough information in the right format?

Do you need more from IA?

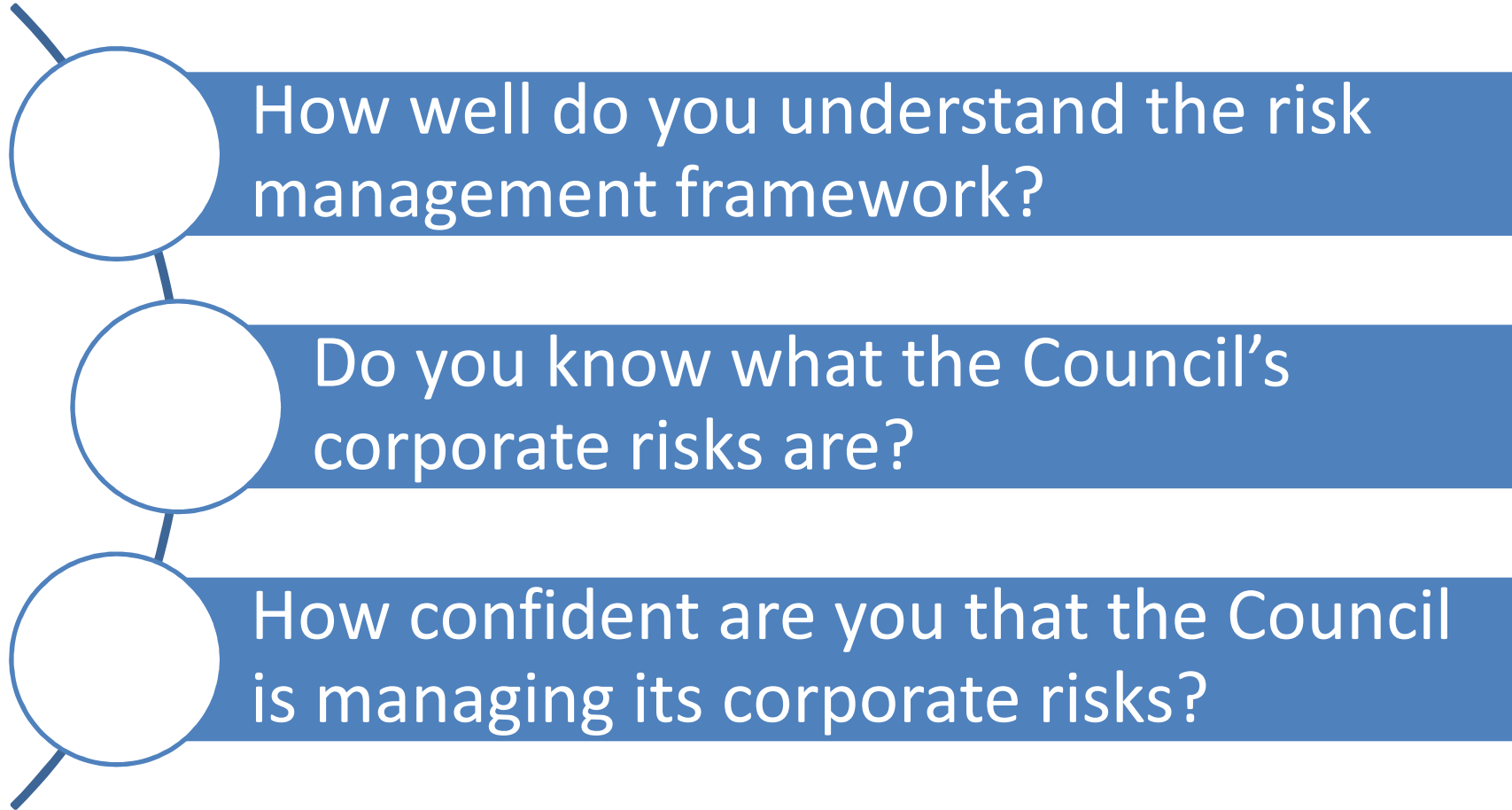
Should you meet with the HIAS at least once a year in private?

Challenge




**How well do you
robustly challenge
senior management
when needed?**

Risk management

- 
- How well do you understand the risk management framework?
 - Do you know what the Council's corporate risks are?
 - How confident are you that the Council is managing its corporate risks?

Risk management



What are this
Committee's/Performance
Scrutiny's roles relating to
risk management?

Fraud



How much do you know about fraud trends in the public sector?

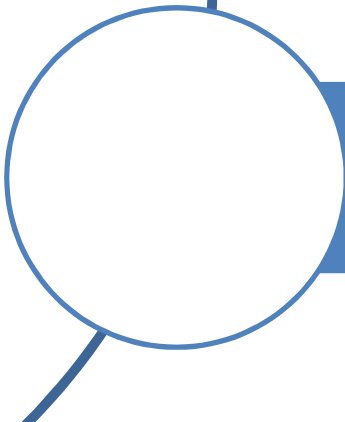
What are the Councils key fraud risks?

What counter-fraud arrangements does the Council have in place?

Ethics

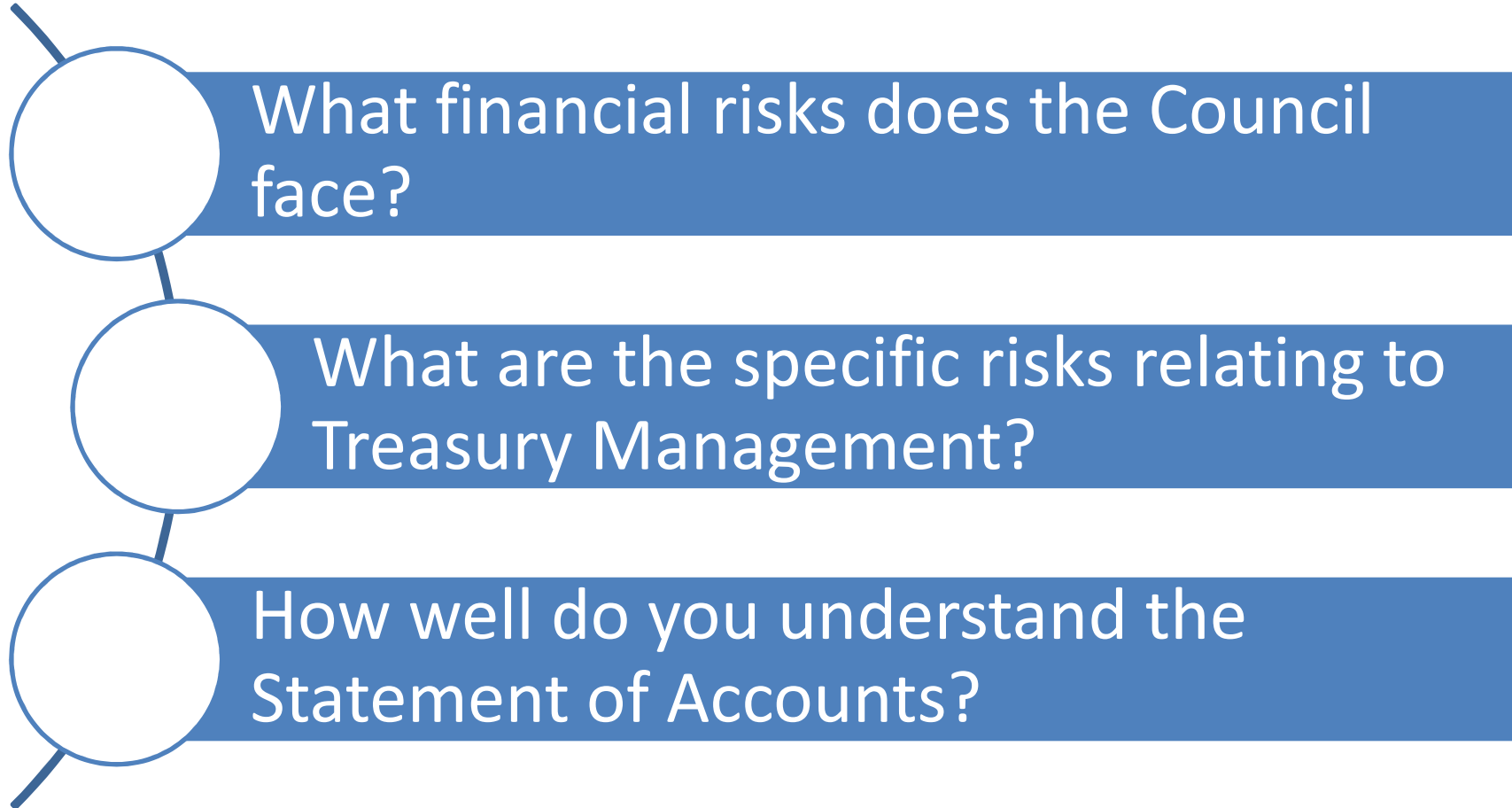


What arrangements are in place to ensure good ethical behaviour by officers and members?

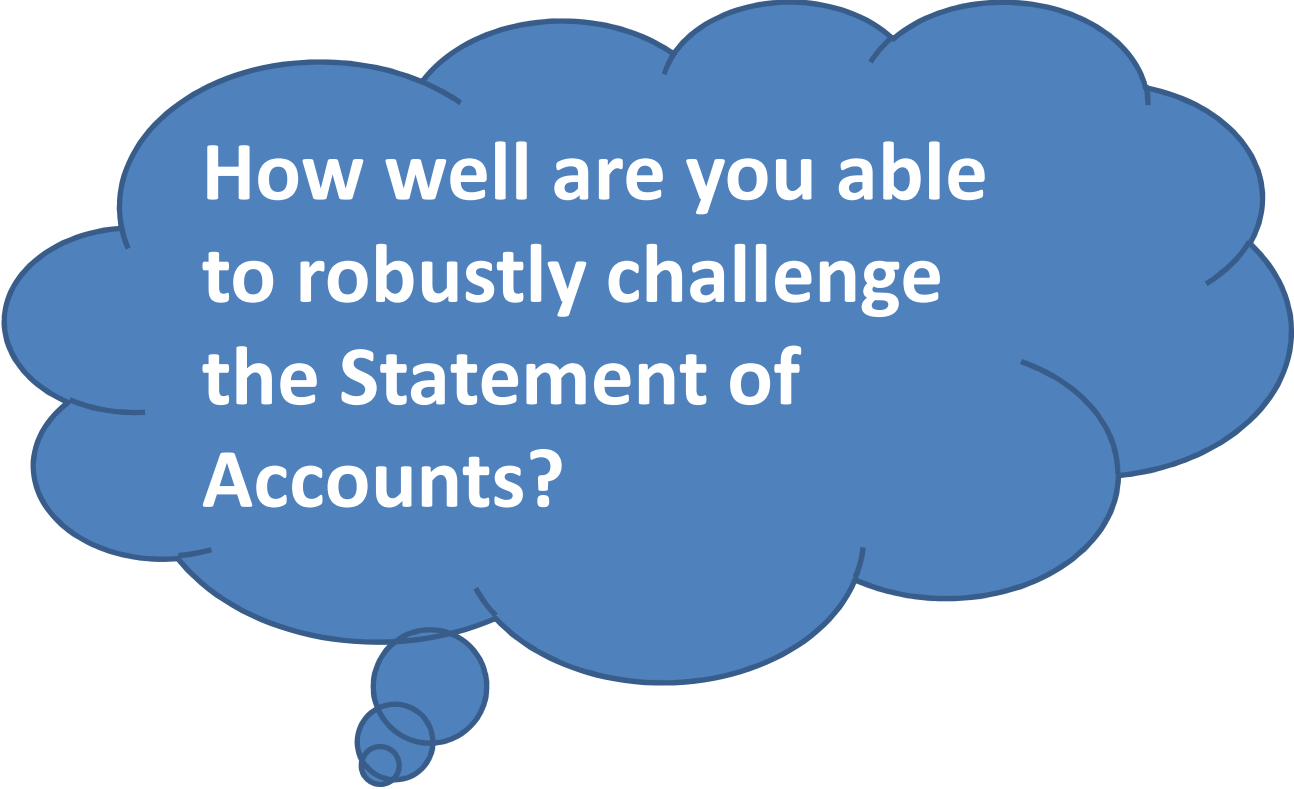


How confident are you that these arrangements work in practice?

Financial management

- 
- What financial risks does the Council face?
 - What are the specific risks relating to Treasury Management?
 - How well do you understand the Statement of Accounts?

Financial management



How well are you able
to robustly challenge
the Statement of
Accounts?

External audit



What is external audit's role?

How does this differ from internal audit?

How do you know external audit is effective?

External audit

Does external audit provide you with enough information in the right format?

Do you need more from external audit?

Should you meet with the external auditor at least once a year in private?

Committee knowledge



Is the Chair confident in his knowledge of finance, risk management, audit and the Council's regulatory regime?

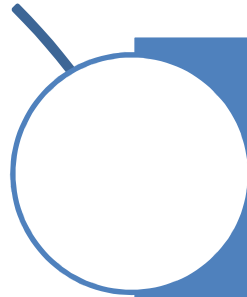
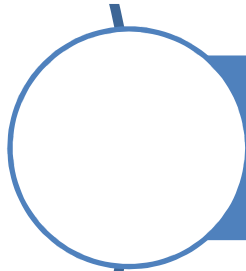
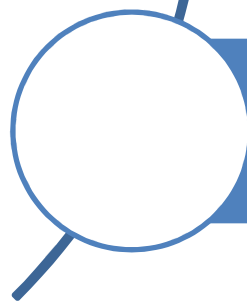


How well are you trained for your role?

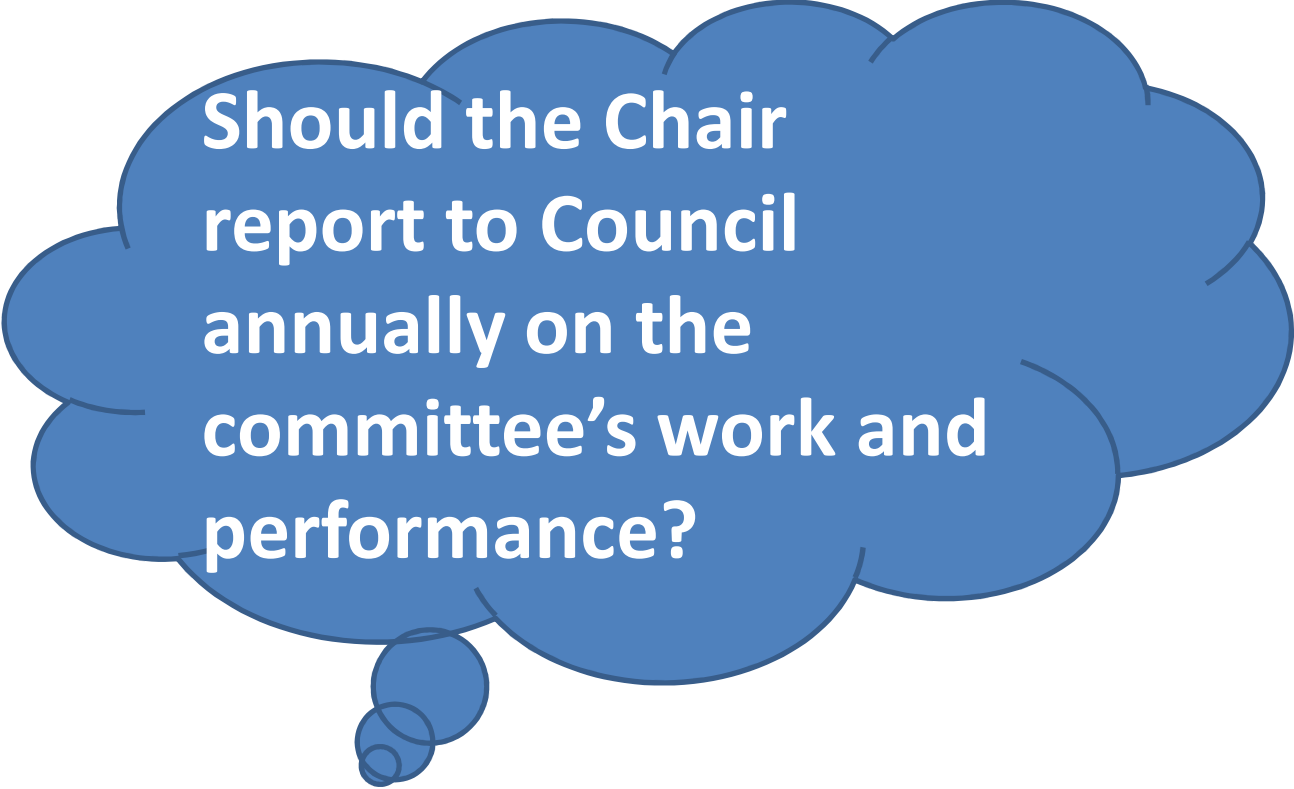


Should new committee members have induction training in their new role?

Committee support

-  How well do officers support you in meetings and provide advice and guidance?
-  Are you adequately prepared for the Committee meetings?
-  Can written/verbal reports to the committee be improved?

Committee effectiveness



Should the Chair
report to Council
annually on the
committee's work and
performance?